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Attorney Docket No. 22097-058

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Ziegler, et al

SERIAL NO.: 09/811,856

FOR: BATTERY ASSEMBLY FOR UPS

CERTIFICATE OF EXPRESS MAILING UNDER 37 C.F.R. § 1.10

The undersigned hereby certifies that this document (along with any paper or fee referred to as being enclosed) is being placed in the United States mail Express Mail # EF371220917US, on the date indicated below addressed to the Commissioner for Patents and Trademarks, Washington, D.C. 20231.

E. Choi

Yong S. Choi
Date: June 7, 2001

Commissioner for Patents and Trademarks
Washington, D.C. 20231

TRANSMITTAL LETTER

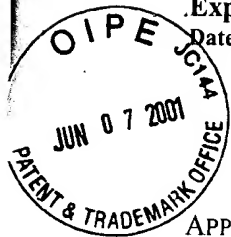
Transmitted herewith for filing in the present application are the following documents:

- ☒ Information Disclosure Statement;
- ☒ Form 1449 (modified); and
- ☒ Return Postcard.

The Commissioner is authorized to credit any overpayment or charge any deficiencies to Deposit Account No. 50-0311 (Reference No. 18133-058. A duplicate copy of this transmittal letter is enclosed.

Respectfully submitted,

[Signature]
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: William Ziegler *et al*
FILING DATE: March 19, 2001 ART UNIT: 2838
SERIAL NO. 09/811,856
FOR: BATTERY ASSEMBLY FOR UPS

June 6, 2001
Boston, Massachusetts

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants hereby make of record the documents listed below and on the attached modified Form PTO-1449 (submitted in duplicate) in the above-identified application, copies of which are submitted herewith. The order of presentation of the references should not be construed as an indication of the importance of the references.

U.S. Patent Documents:

Patent No.	Issue Date	Name of Patentee(s) or Applicant(s)
4,770,958	Sep. 13, 1988	Malcolm Newman, <i>et al</i>
5,348,815	Sep. 20, 1994	Ronald S. Barker
3,617,615	Nov. 2, 1971	Jerry L. Balzer
4,698,459	Oct. 6, 1987	Robert C. Drake
3,633,154	Jan. 4, 1972	Hershey Glantz

Attorney or Agent	Registration No.	Attorney or Agent	Registration No.
Kevin Ainsworth	39,586	Kristin E. Konzak	44,848
Ingrid Beattie	42,306	Cynthia Kozakiewicz	42,764
David B. Bernstein	32,112	Barry Marenberg	40,715
Naomi Biswas	38,384	William Marino	44,219
David F. Crosby	36,400	A. Jason Mirabito	28,161
William D. Belanger	40,509	Michel Morency	Limited Recognition
Brett N. Dorny	35,860	Bradley Olson	40,750

APPLICANTS: William Ziegler, *et al*
U.S.S.N.: 09,811,856

Marianne Downing	42,870	Carol H. Peters	45,010
Ivor R. Elrifi	39,529	Robert Klauzinski	42,742
Heidi A. Erlacher	45,409	Michael Renaud	44,299
Christina Gadiano	37,628	Brian Rosenbloom	41,276
Richard G. Gervase	P46,725	James G. Gatto	32,694
John A. Harre	37,345	Thomas M. Sullivan	39,392
Shane Hunter	41,858	Howard Susser	33,556
David E. Johnson	41,874	Shelby J. Walker	45,192
Kris Kalidindi	41,461	Martin M. Zoltick	35,745
Christina Karnakis	45,899	Yong S. Choi	43,326
David W. Poirier	43,007	Robert J. Sayre	42,124
Christopher J. Cuneo	43,216	Raphael A. Valencia	43,216
Bradford C. Blaise	47,429		

Other Prior Art – Non Patent Literature Documents:

This Information Disclosure Statement is being filed:

- ☒ within three months of the filing date of the National Application;
☐ within three months of the filing date of the entry of the National Stage, as set forth in 37 C.F.R. §1.491, in an International Application; or
☐ before the mailing date of a first Office Action on the merits in the above-identified case.

Accordingly, no fee or certification is required. 37 C.F.R. §1.97.

A copy of each of the above-identified information is enclosed unless otherwise indicated on the attached Form PTO-1449 (modified). It is respectfully requested that the Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims, and signs the enclosed form PTO-1449 to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application.

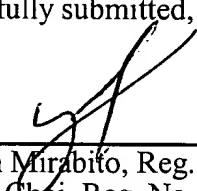
By submitting this Information Disclosure Statement, the Applicant makes no representation that: (1) a search has been performed, of the extent of any search performed, or that more relevant information does not exist; (2) the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b); and (3) the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

APPLICANTS:
U.S.S.N.:

William Ziegler, *et al*
09,811,856

Notwithstanding any statements by the Applicant, the Examiner is urged to form his/her own conclusion regarding the relevance of the cited information. An early and favorable action is hereby requested. Please charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 20336-006.

Respectfully submitted,



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